

Clerk of the House of Representatives Legislative Resource Center 135 Cannon Building Washington, DC 20515 http://lobbyingdisclosure.house.gov	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual CVS Health (and subsidiaries)	
2. Address Address1 <u>1275 Pennsylvania Avenue, NW</u> Address2 <u>Suite 700</u> City <u>Washington</u> State <u>DC</u> Zip Code <u>20004</u> Country <u>USA</u>	
3. Principal place of business (if different than line 2) City <u>Woonsocket</u> State <u>RI</u> Zip Code <u>02895</u> Country <u>USA</u>	
4a. Contact Name	b. Telephone Number c. E-mail
5. Senate ID# <u>302778-12</u>	
7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality CVS Health (and subsidiaries)	6. House ID# <u>394420000</u>

TYPE OF REPORT8. Year 2020 Q1 (1/1 - 3/31) Q2 (4/1 - 6/30) Q3 (7/1 - 9/30) Q4 (10/1 - 12/31) 9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Issue Activity **INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13**

12. Lobbying	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSE relating to lobbying activities for this reporting period were:
Less than \$5,000 <input type="checkbox"/>	Less than \$5,000 <input type="checkbox"/>
\$5,000 or more <input type="checkbox"/> \$ _____	\$5,000 or more <input checked="" type="checkbox"/> \$ <u>3,460,000.00</u>
Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only
	<input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Digitally Signed By: Shannon PenberthyDate 4/20/2020
6:56:07 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code PHA

16. Specific lobbying issues

Issues related to medication adherence, drug price transparency, and prescription drug abuse.

H.R. 748, The Coronavirus Aid, Relief, and Economic Security Act
 H.R. 803/S. 988, The Improving Transparency and Accuracy in Medicare Part D Spending Act of 2019
 H.R. 2113, The Prescription Drug Sunshine, Transparency, Accountability and Reporting Act of 2019
 H.R. 2115, The Public Disclosure of Drug Pricing Act of 2019
 H.R. 3408, The Shop Rx Act of 2019
 S. 1895, The Lower Health Care Costs Act of 2019
 S. 2543, The Prescription Drug Pricing Reduction Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Issues related to health savings accounts, the health insurance tax, and other taxes included in the Affordable Care Act.
 H.R. 748, The Coronavirus Aid, Relief, and Economic Security Act
 S. 1948, The Chronic Disease Management Act of 2019
 S. 3200, The Chronic Disease Management Act of 2020

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sarah	Schmidt			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code MMM

16. Specific lobbying issues

Issues related to the Medicare Part D, Medicare Advantage, Medicaid, and ESRD programs.
 Issues related to CMS Medicare Advantage Advance Rate Notice and Draft Call Letter for 2020.

H.R. 3, The Lower Drug Costs Now Act of 2019
 H.R. 748, The Coronavirus Aid, Relief, and Economic Security Act
 H.R. 803/S. 988, The Improving Transparency and Accuracy in Medicare Part D Spending Act of 2019
 H.R. 3408, The Shop Rx Act of 2019
 S. 476, The Creating Transparency to Have Drug Rebates Unlocked Act of 2019
 S. 1895, The Lower Health Care Costs Act of 2019
 S.2543, The Prescription Drug Pricing Reduction Act of 2019
 S.2751, The Patient Access to ESRD New Innovative Devices Act of 2019
 S. 3013, The Encouraging Innovative Benefit Design to Lower Costs for Seniors Act of 2019
 S. 3129, The Lower Costs, More Cures Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

Issues related to implementation and changes to the Affordable Care Act.

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPT

16. Specific lobbying issues

Issues related to barriers to generic drug and biosimilar market entry.
 H.R. 985, The Fair Access for Safe and Timely Generics Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code INS

16. Specific lobbying issues

Issues related to employer, small group and individual insurance markets, including surprise billing.

H.R. 748, The Coronavirus Aid, Relief, and Economic Security Act
 H.R. 3107, The Improving Seniors Timely Access to Care Act of 2019
 H.R. 3630, The No Surprises Act of 2019
 S. 657, The Drug Price Transparency Act of 2019
 S. 1323, The Utilizing National Data, Effectively Reforming Standards and Tools, to Address Negative Determinants of Health Act of 2019
 S. 1531, The Stopping the Outrageous Practice Of Surprise Medical Bills Act of 2019
 S. 1895, The Lower Health Care Costs Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Rosenbaum			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code LBR

16. Specific lobbying issues

Issues related to the competitive nature of the pharmacy benefit management industry.
 H.R. 2376/S. 1227, The Prescription Pricing for the People Act of 2019
 S. 1895, The Lower Health Care Costs Act of 2019
 S. 2543, The Prescription Drug Pricing Reduction Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code VET

16. Specific lobbying issues

Issues related to implementation of the VA MISSION Act

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code POS

16. Specific lobbying issues

Issues related to the continuation and affordability of postal services.
S. 2965, The USPS Fairness Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suffix
1 _____	_____	_____	3 _____	_____	_____
2 _____	_____	_____	4 _____	_____	_____

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)	
	Street Address				City	Country
	City	State/Province	Zip	Country	State	Country

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1 2 3

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address					
	City	State/Province	Country	City		%
				State	Country	

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1 2 3 4 5 6

CONVICTIONS DISCLOSURE

29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

No Yes

Lobbyist Name	Description of Offense(s)

Clerk of the House of Representatives Legislative Resource Center 135 Cannon Building Washington, DC 20515 http://lobbyingdisclosure.house.gov	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual CVS Health (and subsidiaries)	
2. Address Address1 <u>1275 Pennsylvania Avenue, NW</u> Address2 <u>Suite 700</u> City <u>Washington</u> State <u>DC</u> Zip Code <u>20004</u> Country <u>USA</u>	
3. Principal place of business (if different than line 2) City <u>Woonsocket</u> State <u>RI</u> Zip Code <u>02895</u> Country <u>USA</u>	
4a. Contact Name _____	b. Telephone Number _____ c. E-mail _____
7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality CVS Health (and subsidiaries)	
5. Senate ID# <u>302778-12</u>	
6. House ID# <u>394420000</u>	

TYPE OF REPORT 8. Year 2020 Q1 (1/1 - 3/31) Q2 (4/1 - 6/30) Q3 (7/1 - 9/30) Q4 (10/1 - 12/31)

9. Check if this filing amends a previously filed version of this report
 10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Issue Activity

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13	
<p>12. Lobbying</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$5,000 <input type="checkbox"/></p> <p>\$5,000 or more <input type="checkbox"/> \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSE relating to lobbying activities for this reporting period were:</p> <p>Less than \$5,000 <input type="checkbox"/></p> <p>\$5,000 or more <input checked="" type="checkbox"/> \$ <u>2,120,000.00</u></p> <p>14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Digitally Signed By: Shannon Penberthy Date 7/20/2020 5:36:55 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code PHA

16. Specific lobbying issues

Issues related to medication adherence, drug price transparency, prescription drug abuse, and COVID testing.

S. 1895, The Lower Health Care Costs Act of 2019
 S. 2543, The Prescription Drug Pricing Reduction Act of 2019
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Issues related to health savings accounts, the health insurance tax, and other taxes included in the Affordable Care Act.
S. 1948, The Chronic Disease Management Act of 2019
S. 3200, The Chronic Disease Management Act of 2020
H.R. 6776, The JOBS Credit Act of 2020
H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sarah	Schmidt			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code MMM

16. Specific lobbying issues

Issues related to the Medicare Part D, Medicare Advantage, Medicaid (including increasing Medicaid funding to states), and the ESRD program. Issues related to CMS Medicare Advantage Advance Rate Notice and Draft Call Letter for 2020.

H.R. 3, The Lower Drug Costs Now Act of 2019
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
 S. 1895, The Lower Health Care Costs Act of 2019
 S.2543, The Prescription Drug Pricing Reduction Act of 2019
 S.2751, The Patient Access to ESRD New Innovative Devices Act of 2019
 S. 3013, The Encouraging Innovative Benefit Design to Lower Costs for Seniors Act of 2019
 S. 3129, The Lower Costs, More Cures Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Kaitlyn	Lane		Legislative correspondent, staff assistant, and legislative assistant; Congresswoman Debbie Wasserman Schultz	<input checked="" type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

Issues related to implementation and changes to the Affordable Care Act.

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code INS

16. Specific lobbying issues

Issues related to employer, small group and individual insurance markets, including surprise billing and increasing COBRA subsidies for individuals to maintain coverage.

H.R. 3107, The Improving Seniors Timely Access to Care Act of 2019
 H.R. 3630, The No Surprises Act of 2019
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
 S. 657, The Drug Price Transparency Act of 2019
 S. 1323, The Utilizing National Data, Effectively Reforming Standards and Tools, to Address Negative Determinants of Health Act of 2019
 S. 1531, The Stopping the Outrageous Practice Of Surprise Medical Bills Act of 2019
 S. 1895, The Lower Health Care Costs Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Rosenbaum			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code LBR

16. Specific lobbying issues

Issues related to the competitive nature of the pharmacy benefit management industry.
 H.R. 2376/S. 1227, The Prescription Pricing for the People Act of 2019
 S. 1895, The Lower Health Care Costs Act of 2019
 S. 2543, The Prescription Drug Pricing Reduction Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Rosalyn	Kumar			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code POS

16. Specific lobbying issues

Issues related to the continuation and affordability of postal services.
S. 2965, The USPS Fairness Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suffix
1 _____	_____	_____	3 _____	_____	_____
2 _____	_____	_____	4 _____	_____	_____

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)	
	Street Address	City	State/Province	Zip	Country	City
						State Country

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1 2 3

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address	City	State/Province			
				City State Country		%

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1 2 3 4 5 6

CONVICTIONS DISCLOSURE

29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

No Yes

Lobbyist Name	Description of Offense(s)

Clerk of the House of Representatives Legislative Resource Center 135 Cannon Building Washington, DC 20515 http://lobbyingdisclosure.house.gov	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual CVS Health (and subsidiaries)	
2. Address Address1 <u>1275 Pennsylvania Avenue, NW</u> Address2 <u>Suite 700</u> City <u>Washington</u> State <u>DC</u> Zip Code <u>20004</u> Country <u>USA</u>	
3. Principal place of business (if different than line 2) City <u>Woonsocket</u> State <u>RI</u> Zip Code <u>02895</u> Country <u>USA</u>	
4a. Contact Name _____	b. Telephone Number _____ c. E-mail _____
7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality CVS Health (and subsidiaries)	
5. Senate ID# 302778-12	
6. House ID# 394420000	

TYPE OF REPORT 8. Year 2020 Q1 (1/1 - 3/31) Q2 (4/1 - 6/30) Q3 (7/1 - 9/30) Q4 (10/1 - 12/31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Issue Activity

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13	
<p align="center">12. Lobbying</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$5,000 <input type="checkbox"/></p> <p>\$5,000 or more <input type="checkbox"/> \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSE relating to lobbying activities for this reporting period were:</p> <p>Less than \$5,000 <input type="checkbox"/></p> <p>\$5,000 or more <input checked="" type="checkbox"/> \$ <u>2,260,000.00</u></p> <p>14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Digitally Signed By: Shannon Penberthy

Date 10/20/2020
6:04:59 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code PHA

16. Specific lobbying issues

Issues related to medication adherence, drug price transparency, prescription drug abuse, and COVID testing.

S. 1895, The Lower Health Care Costs Act of 2019
 S. 2543, The Prescription Drug Pricing Reduction Act of 2019
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>
Kaitlyn	Lane			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Issues related to health savings accounts, the health insurance tax, and other taxes included in the Affordable Care Act.

H.R. 6776, The JOBS Credit Act of 2020

H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sarah	Schmidt			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code MMM

16. Specific lobbying issues

Issues related to the Medicare Part D, Medicare Advantage, Medicaid (including increasing Medicaid funding to states), and the ESRD program.

Issues related to CMS Medicare Advantage Advance Rate Notice and Draft Call Letter for 2020.

H.R. 3, The Lower Drug Costs Now Act of 2019
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
 H.R. 7659, The Ensuring Parity for MA in Audio-only Telehealth Act of 2020
 H.R. 8245/S. 4574, The Bringing Enhanced Treatments and Therapies to ESRD Recipients Kidney Care Act of 2020
 S. 1895, The Lower Health Care Costs Act of 2019
 S.2543, The Prescription Drug Pricing Reduction Act of 2019
 S. 3013, The Encouraging Innovative Benefit Design to Lower Costs for Seniors Act of 2019
 S. 3129, The Lower Costs, More Cures Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Kaitlyn	Lane			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

Issues related to implementation and changes to the Affordable Care Act.

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code INS

16. Specific lobbying issues

Issues related to employer, small group and individual insurance markets, including surprise billing and increasing COBRA subsidies for individuals to maintain coverage.

H.R. 3107, The Improving Seniors Timely Access to Care Act of 2019
 H.R. 3630, The No Surprises Act of 2019
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
 S. 1531, The Stopping the Outrageous Practice Of Surprise Medical Bills Act of 2019
 S. 1895, The Lower Health Care Costs Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Rosenbaum			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Kaitlyn	Lane			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code LBR

16. Specific lobbying issues

Issues related to the competitive nature of the pharmacy benefit management industry.

S. 1895, The Lower Health Care Costs Act of 2019
 S. 2543, The Prescription Drug Pricing Reduction Act of 2019
 S. 4317, The Safe to Work Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code POS

16. Specific lobbying issues

Issues related to the continuation and affordability of postal services.
H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
S. 2965, The USPS Fairness Act

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CSP

16. Specific lobbying issues

Issues related to organized retail crime and product safety.
H.R. 7756/S. 3417, The Integrity, Notification and Fairness in Online Retail Marketplaces for Consumers Act of 2020

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suffix
1 Rosalyn	Kumar		3		
2			4		

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)	
	Street Address				City	Country
	City	State/Province	Zip	Country	State	Country

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1	2	3
---	---	---

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address					
	City	State/Province	Country	City		%
				State	Country	

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1	3	5
2	4	6

CONVICTIONS DISCLOSURE

29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

No Yes

Lobbyist Name	Description of Offense(s)

Clerk of the House of Representatives Legislative Resource Center 135 Cannon Building Washington, DC 20515 http://lobbyingdisclosure.house.gov	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <input checked="" type="checkbox"/> Organization/Lobbying Firm <input type="checkbox"/> Self Employed Individual CVS Health (and subsidiaries)	
2. Address Address1 <u>1275 Pennsylvania Avenue, NW</u> Address2 <u>Suite 700</u> City <u>Washington</u> State <u>DC</u> Zip Code <u>20004</u> Country <u>USA</u>	
3. Principal place of business (if different than line 2) City <u>Woonsocket</u> State <u>RI</u> Zip Code <u>02895</u> Country <u>USA</u>	
4a. Contact Name	b. Telephone Number c. E-mail
5. Senate ID# <u>302778-12</u>	
7. Client Name <input checked="" type="checkbox"/> Self <input type="checkbox"/> Check if client is a state or local government or instrumentality CVS Health (and subsidiaries)	
6. House ID# <u>394420000</u>	

TYPE OF REPORT8. Year 2020 Q1 (1/1 - 3/31) Q2 (4/1 - 6/30) Q3 (7/1 - 9/30) Q4 (10/1 - 12/31) 9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report Termination Date _____ 11. No Lobbying Issue Activity **INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13**

<p align="center">12. Lobbying</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$5,000 <input type="checkbox"/></p> <p>\$5,000 or more <input type="checkbox"/> \$ _____</p> <p>Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSE relating to lobbying activities for this reporting period were:</p> <p>Less than \$5,000 <input type="checkbox"/></p> <p>\$5,000 or more <input checked="" type="checkbox"/> \$ <u>2,300,000.00</u></p> <p>14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>
--	--

Signature

Digitally Signed By: Shannon Penberthy

Date

1/21/2021
6:59:40 PM

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code PHA

16. Specific lobbying issues

Issues related to medication adherence, drug price transparency, prescription drug abuse, and COVID testing and vaccination.

S. 1895, The Lower Health Care Costs Act of 2019
 S. 2543, The Prescription Drug Pricing Reduction Act of 2019
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
 H.R. 133, Consolidated Appropriations Act, 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Kaitlyn	Lane			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

Issues related to health savings accounts, the health insurance tax, and other taxes included in the Affordable Care Act.

H.R. 6776, The JOBS Credit Act of 2020
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
 S. 4124, Healthy Workplaces Tax Credit
 H.R. 133, Consolidated Appropriations Act, 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sarah	Schmidt			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code MMM

16. Specific lobbying issues

Issues related to the Medicare Part D, Medicare Advantage, Medicaid (including increasing Medicaid funding to states), and the ESRD program.

Issues related to CMS Medicare Advantage Advance Rate Notice and Draft Call Letter for 2020.

Issues related to reimbursement levels for COVID testing and vaccination.

H.R. 3, The Lower Drug Costs Now Act of 2019
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
 H.R. 7659, The Ensuring Parity for MA in Audio-only Telehealth Act of 2020
 H.R. 8245/S. 4574, The Bringing Enhanced Treatments and Therapies to ESRD Recipients Kidney Care Act of 2020
 S. 1895, The Lower Health Care Costs Act of 2019
 S.2543, The Prescription Drug Pricing Reduction Act of 2019
 S. 3013, The Encouraging Innovative Benefit Design to Lower Costs for Seniors Act of 2019
 S. 3129, The Lower Costs, More Cures Act of 2019

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, Health & Human Services - Dept of (HHS), White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Kaitlyn	Lane			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

Issues related to implementation and changes to the Affordable Care Act.

17. House(s) of Congress and Federal agencies Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code INS

16. Specific lobbying issues

Issues related to employer, small group and individual insurance markets, including surprise billing and increasing COBRA subsidies for individuals to maintain coverage.

H.R. 3107, The Improving Seniors Timely Access to Care Act of 2019
 H.R. 3630, The No Surprises Act of 2019
 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
 S. 1531, The Stopping the Outrageous Practice Of Surprise Medical Bills Act of 2019
 S. 1895, The Lower Health Care Costs Act of 2019
 H.R. 133, Consolidated Appropriations Act, 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Rosenbaum			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Glen	Chambers			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Kaitlyn	Lane			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code LBR

16. Specific lobbying issues

Issues related to the competitive nature of the pharmacy benefit management industry.

S. 1895, The Lower Health Care Costs Act of 2019
 S. 2543, The Prescription Drug Pricing Reduction Act of 2019
 S. 4317, The Safe to Work Act
 H.R. 133, Consolidated Appropriations Act, 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES, White House Office

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			<input type="checkbox"/>
Amy	Rosenbaum			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>
Joy	McGlaun			<input type="checkbox"/>
Shannon	Penberthy			<input type="checkbox"/>
Melissa	Schulman			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code POS

16. Specific lobbying issues

Issues related to the continuation and affordability of postal services.
H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020
S. 2965, The USPS Fairness Act
H.R. 133, Consolidated Appropriations Act, 2021

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CSP

16. Specific lobbying issues

Issues related to organized retail crime and product safety.
H.R. 7756/S. 3417, The Integrity, Notification and Fairness in Online Retail Marketplaces for Consumers Act of 2020

17. House(s) of Congress and Federal agencies Check if None

U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			<input type="checkbox"/>
Elizabeth	Brooks			<input type="checkbox"/>
Sarah	Schmidt			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____
City _____ State _____ Zip Code _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ Country _____

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

First Name	Last Name	Suffix	First Name	Last Name	Suffix
1	Glen	Chambers	3		
2			4		

ISSUE UPDATE

24. General lobbying issue that no longer pertains

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address				Principal Place of Business (city and state or country)	
	Street Address				City	Country
	City	State/Province	Zip	Country	State	Country

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1	2	3
---	---	---

FOREIGN ENTITIES

27. Add the following foreign entities:

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	Street Address					
	City	State/Province	Country	City		%
				State	Country	

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1	3	5
2	4	6

CONVICTIONS DISCLOSURE

29. Have any of the lobbyists listed on this report been convicted in a Federal or State Court of an offense involving bribery, extortion, embezzlement, an illegal kickback, tax evasion, fraud, a conflict of interest, making a false statement, perjury, or money laundering?

No Yes

Lobbyist Name	Description of Offense(s)